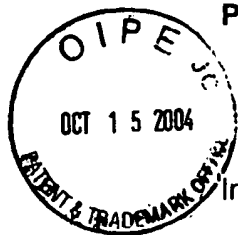


JF-W



Practitioner's Docket No. 2003DE112

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sebastian Hoerold

Application No.: 10/840,163

Group No.: 1714

Filed: May 6, 2004

For: Flame Retardant-Nanocomposite Combination for Thermoplastic Polymers

Commissioner for Patent

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10*

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Applicant: Sebastian Hoerold
Serial No. 10/840,163
Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO-1449.
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☒ PCT Search Report
 - 1d. ☒ Citation of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☒ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:
- a. US 10/839,991, filed March 4, 2004, Eisentraeger et al.

Respectfully submitted,

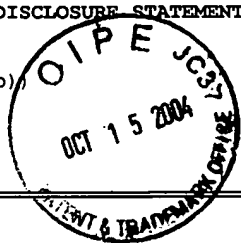


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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 2003DE112	SERIAL NO. 10/840,163
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		
(37 CFR 1.98(b))		
APPLICANT: Sebastian Hoerold		
FILING DATE: 5/6/2004	GROUP: 1714	



U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Dte	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,255,371	7/3/01	Schlosser et al.			
	AB	6,750,282	6/15/04	Schall et al.			
	AC	6,509,401	1/21/03	Jenewein et al.			
	AD	6,207,736	3/27/01	Nass et al.			
	AE	4,036,811	7/19/77	Noetzel et al.			
	AF	3,900,444	8/19/75	Kleiner et al.			
	AG	5,780,534	7/14/98	Kleiner et al.			
	AH	6,013,707	1/11/00	Kleiner et al.			
	AI	4,546,126	10/8/85	Breitenfellner et al.			
	AJ	6,365,071	4/2/02	Jenewein et al.			
	AK						

FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA	DE 19933901	2/1/01	Germany			See AA above
	BB	DE 19921472	11/16/00	Germany			See AB above
	BC	DE 19920276	11/9/00	Germany			English Abstract
	BD	DE 19737727	7/8/99	Germany			See AC above
	BE	DE 19734437	2/11/99	Germany			See AD above
	BF	DE 19614424	10/16/97	Germany			See AJ above
	BG	DE 2447727	4/8/76	Germany			See AE above
	BH	DE 2252258	5/9/74	Germany			See AF above
	BI	EP 0699708	3/6/96	EPO			See AG & AH above
	BJ	EP 0132228	1/23/85	EPO			See AI above
	BK	WO 99/29767	6/17/99	WIPO			English
	BL	WO 97/39053	10/23/97	WIPO			See AJ Above
	BM						

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[illegible]